

PATENT APPLICATION
Docket No. 15436.249.26

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Kevin Zhang et al.

Serial No.: 09/941,474

Filed: August 28, 2001

Confirmation No.: 8102

For: METHOD AND APPARATUS FOR
PRECISION TUNING AN OPTICAL
FILTER USING A BALL-END JOINT

Examiner: Kevin S. Wood

Mail Stop AF – FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL FOR AMENDMENT TO FINAL OFFICE ACTION

Sir:

Transmitted herewith is an Amendment to Final Office Action for entry in the above-identified application.

Small entity status of this application under 37 C.F.R. § 1.9 and § 1.27 has been established by a verified statement previously submitted.

To secure the approval of the Examiner for proposed amendments to the drawings, enclosed are the following:

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- ____ Letter to the Official Draftsperson;
- ____ Set of _____ () sheets of drawings containing Figures _____ including the proposed amendments therein; and
- ____ A duplicate set of the _____ () sheets of drawings with the changes therein highlighted in red.
- X To render the transmitted Amendment to Final Office Action timely filed enclosed are the following:
- X Petition for a One-Month Extension of Time; and
- X Form PTO-2038 submitting Credit Card Payment in the amount of \$110.00 to cover the fee for the extension of time.
- X A Certificate of Facsimile.
- ____ No other additional fee is required.

The fee has been calculated as follows:

			SMALL ENTITY		LARGE ENTITY	
CLAIMS REMAINING AFTER	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADD'T'L FEE	RATE	ADD'T'L FEE
TOTAL	MINUS	=	X		X	
INDEPENDENT	MINUS	=	X		X	
1 st PRESENTATION OF MULTIPLE DEPENDENT CLAIM			+ _____		+ _____	
			TOTAL		TOTAL	

- ____ Form PTO-2038 submitting Credit Card Payment in the amount of \$____ is enclosed to cover the additional claim fee.
- ____ Please charge my Deposit Account No. 23-3178 in the amount of _____.
- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3178.
- X Any filing fees under 37 CFR 1.16 for the presentation of extra claims.

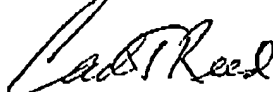
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X Any patent application processing fees under 37 CFR 1.17.

— A duplicate copy of this transmittal letter is attached.

Dated this 5th day of April 2004.

Respectfully submitted,



CARL T. REED
Attorney for Applicant
Registration No. 45,454
Customer No. 022913

CTR:dfw
DI-W0000009998V001

Response Under 37 C.F.R. § 1.116
Expedited Procedure
Examining Group 2874

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METHOD AND APPARATUS FOR PRECISION
TUNING AN OPTICAL FILTER USING A
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Examiner:

Kevin S. Wood

Art Unit
2874AMENDMENT TO FINAL OFFICE ACTION MAILED DECEMBER 5, 2003

Mail Stop AF -- FFE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Responsive to the Final Office Action mailed December 5, 2003, please amend the
above-identified patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2
of this paper.

Remarks/Arguments begins on page 8 of this paper.